



Advisory Fee Schedule: Assets under management*

Todd McCaffray, CFP®
Financial Advisor

John D'Amelio, CFP®
Financial Advisor

<\$1Mil	0.90%
\$1Mil-\$2Mil	0.75%
\$2Mil-\$3Mil	0.50%
>\$3Mil	0.25%

Although there is no other compensation that Harbour Investments, Inc. or KFG receives from you to provide investment advice, additional fees may be incurred for services provided by other parties supporting your investments. These may include custodial, mutual fund, ETF, and/or separately managed account expenses, etc. Specific fees will be detailed prior to account opening. As your fiduciary, Koenig Financial Group will help keep your investment expenses low while always recommending investments which are believed to be in your best interest.

Securities and advisory services offered through **Harbour Investments, Inc.**, Member FINRA/SIPC.

Koenig Financial Group and Harbour Investments, Inc. are separate companies.

Mission: Premier Wealth Management at lower than industry average fees
Method: Operational excellence using best in class technology, partners, and processes

Planning Charges:

Advisory assets under management*

AUM	Initial Plan	Maintenance
<\$250K	\$400/hr	\$400/hr
\$250K-\$500K	\$200/hr	included
>\$500K	included	

*Non-Advisory accounts are evaluated on a case by case basis.



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A typical plan takes 4 to 6 hours. Steps will follow the Certified Financial Planner process and include:

- Data Collection including Assets, Liabilities, Expenses, Income, Concerns and Prioritization of Goals
- Analysis of collected data and client circumstance, current and potential courses of action
- Presentation of financial plan including recommendation to achieve goals and alternative strategies
- Assistance with implementation and monitoring of the financial plan per client needs

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